

S/N 10/054,665

PATENT

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: John F. Engelhardt  
Serial No.: 10/054,665  
Filed: January 22, 2002  
Title: ADENO-ASSOCIATED VIRUS VECTORS

Examiner: David Guzo  
Group Art Unit: 1636  
Docket: 875.007US2

**TERMINAL DISCLAIMER**

MS AMENDMENT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

I, Janet E. Embretson, am the attorney of record for the above identified patent application as evidenced by the Power of Attorney filed in the present application on January 22, 2002. I am making this petition on behalf of University of Iowa Research Foundation, the assignee of the present invention. As the attorney of record, I am empowered to act on behalf of the assignee and, in accordance with 37 C.F.R. § 1.321(b)(iv), to sign this terminal disclaimer.

**Certificate Under 37 C.F.R. § 3.73(b)**

Your petitioner, University of Iowa Research Foundation, certifies that they are the owner of the entire right, title and interest in and to the above-identified patent application (Serial No. 10/054,665) and to U.S. Patent No. 6436392. Your petitioner owns the entire right, title, and interest of these applications by nature of the assignments executed and filed for both of these applications. The assignment for U.S. Patent No. 6436392 was recorded with the United States Patent and Trademark Office on June 21, 1999, at Reel 010035, Frame 0598. The above-identified patent application (Serial No. 10/054,665) is a continuation of U.S. Patent No. 6436392.

The undersigned representative of the assignee has reviewed the evidentiary documents of title and certifies that to the best of assignee's knowledge and belief, title is in the assignee, University of Iowa Research Foundation, seeking to take the action set forth in this disclaimer.

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**Terminal Disclaimer**

Your petitioner hereby disclaims, except as provided below, the terminal part of the statutory term of any patent granted on the above-identified patent application, which would extend beyond the expiration date of the full statutory term, as presently shortened by any terminal disclaimers, of U.S. Patent No. 6436392. Petitioner hereby agrees that any patent so granted on the above-identified application shall be enforceable only for and during such period that the legal title to U.S. Patent No. 6436392 and the legal title of the above-identified application and any patent granted thereon remain common, this agreement to run with any patent granted on the above-identified application and to be binding upon the grantee, its successors and assigns.

**Limitations on the Disclaimer**

Your petitioner does not disclaim any terminal part of any patent granted on the above-identified application prior to the expiration date of the full statutory term as presently shortened by any terminal disclaimer of U.S. Patent No. 6436392 in the event that it later expires for failure to pay a maintenance fee, is held unenforceable, is found invalid, is statutorily disclaimed in whole or terminally disclaimed under 37 C.F.R. § 1.321(a), is reissued, has all claims canceled by a reexamination certificate, or is otherwise terminated prior to the expiration date of its statutory term as presently shortened by any terminal disclaimer, except for the separation of legal title as stated hereinabove.

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Fee Status

A check in the amount of \$55.00, which is required under 37 C.F.R. § 1.20(d) to file a statutory disclaimer, is enclosed herewith. The Commissioner of Patents and Trademarks is hereby authorized to charge any additional fees or credit overpayment to Deposit Account No. 19-0743.

Respectfully submitted,

JOHN F. ENGELHARDT ET AL.

By their Representatives,

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& KLUTH, P.A.  
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Date

September 16, 2004 By Janet E. Embretson  
Janet E. Embretson  
Reg. No 39,665

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22314-1450, on this 16<sup>th</sup> day of September, 2004.

Name

CANDIS BUENDING

Signature

Candis Buending